

# Dorman Trading, LLC

**THIS IS A CONTRACTUAL AGREEMENT. PLEASE READ IT CAREFULLY, THEN SIGN AND FAX IT WITH A COPY OF YOUR PASSPORT OR DRIVER'S LICENSE TO 312 341 7898.** BY SIGNING THIS AGREEMENT, CUSTOMER REPRESENTS AND WARRANTS TO BROKER THAT ALL INFORMATION SUPPLIED TO BROKER IN THIS OR ANY OTHER ACCOUNT FORM IS TRUE AND ACCURATE, AND THAT CUSTOMER SHALL INFORM BROKER IN WRITING OF ANY CHANGES TO SUCH INFORMATION WHEN SUCH CHANGES OCCUR. DORMAN IS HERBY AUTHORIZED TO INVESTIGATE AND VERIFY ALL INFORMATION PROVIDED BY CUSTOMER(S) HEREIN, AS WELL AS CUSTOMER(S) IDENTITY AND CREDIT HISTORY. I (WE) HEREBY REQUEST DORMAN TRADING, LLC TO OPEN A TRADING ACCOUNT IN THE NAME(S) SET FORTH IN THIS APPLICATION. I (WE) HAVE READ AND UNDERSTOOD THE TERMS AND CONDITIONS OF THE CUSTOMER AGREEMENT GOVERNING THE ACCOUNT AND AGREE TO BE BOUND BY THEM AS CURRENTLY IN EFFECT.

## **ACKNOWLEDGEMENT OF RECEIPT OF RISK DISCLOSURE STATEMENT FOR FUTURES AND OPTIONS**

I hereby acknowledge that I have received and understood the Risk Disclosure Statement for Futures and Options.

## **ACKNOWLEDGEMENT OF RECEIPT OF SUBORDINATION NOTICE**

I hereby acknowledge that I have received, read and understood the contents of the Subordination Notice.

## **ADDITIONAL RISK DISCLOSURE**

In view of information on your account application with Dorman Trading, LLC, you should be aware of additional risk disclosures before you open a commodity futures and options trading account, if you fall into two or more of the following categories. (Please check all that apply.)

- You are retired.
- You have no prior commodities/futures or securities trading experience.
- Your annual net income is less than \$25,000 or net worth is less than \$50,000.
- You are investing retirement funds. (e.g. IRA, 401K, Keogh, etc.)
- You are 65 years of age or over.
- Other (list) \_\_\_\_\_

## **IRS W9 FORM**

I hereby acknowledge that I have received, read and understood the contents of W9 form.

## **CONSENT-DELIVERY OF STATEMENTS SOLELY BY ELECTRONIC MEDIA**

You acknowledge your statement is deemed received when made available to you by Dorman Trading, LLC., regardless of whether you actually accessed the statement. You also acknowledge that you are responsible for alerting Dorman Trading, LLC., to any change in your e-mail address and completing a new consent form with the new information. This agreement shall be effective until revoked by you in writing. Please check one.

- Email address \_\_\_\_\_
- Internet access \_\_\_\_\_ (indicate email address where log in info should be sent)
- This is email address change notice \_\_\_\_\_ (indicate previous email address)

## **ONLINE SERVICE & ELECTRONIC TRADING AGREEMENT**

### **ELECTRONIC TRADING AND ORDER ROUTING SYSTEMS DISCLOSURE STATEMENT**

By either signing this Agreement and this Statement, or using the System, User represents that it has read and understands the foregoing terms and conditions, and that User agrees to be bound by them.

\_\_\_\_\_  
CUSTOMER SIGNATURE

\_\_\_\_\_  
PRINT NAME

\_\_\_\_\_  
DATE

\_\_\_\_\_  
CUSTOMER SIGNATURE

\_\_\_\_\_  
PRINT NAME

PLEASE FAX TO 312-341-7898 OR EMAIL TO: [support@dormantrading.com](mailto:support@dormantrading.com)  
DORMANTRADING,LLC (800) 552-7007